

APPROVED BROKER LIST

November 2022

Approved Brokers

To help ensure that Basis will achieve the best possible execution for its clients, the Firm reviews its list of Approved Brokers at least once annually. The annual review performed in November 2022 did not result in any brokers being removed from the list.

Company Name	LEI	Asset Classes Traded
ADM INVESTOR SERVICES INTERNATIONAL LTD	213800GNABQM2M887812	FX
BlockFills	549300BW54UYGBYHCA92	Digital Assets*
Flow Traders N.V.	549300Z7LIC6NFIJL947	Digital Assets*, FX
GFI Brokers LTD	5493007S600HR48ATX36	Commodities, FX
ISPrime LTD	549300F62BRRDZKCUZ58	FX, CFDs
Marex Spectron International LTD	549300FR3U1PB1Y6LV13	Currencies and Commodities
R.J. O'Brien LTD	549300OHLZ0RR2TSKH90	Commodities
Alpha FX Limited	213800S766I9BW9T7Y55	FX
(*) Basis Capital Markets UK Ltd. currently does not have Regulation 56 registration , but may offer certain cash settled products linked to cryptoassets.		
